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 J1033 U.S. PTO
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 08/15/01

SECTION 2: FORM PTO 1449 - MODIFIED
 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Monga Atty Dkt: 2204/A97
 Serial No: Not yet assigned Date: August 15, 2001
 Date Filed: Herewith
 Invention: System, Device, and Method for Managing Connection Quality in an Optical Communication System

Commissioner for Patents
 Washington, DC 20231

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS'
 INFORMATION DISCLOSURE STATEMENT

Other Documents

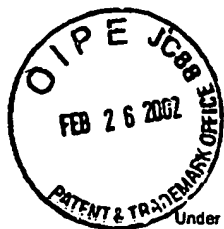
Exam. Ref.	Init.	No.	Name
	DCP	AA	"Simple Wavelength Assignment Protocol", Stephen Suryaputra, et al., October 26, 1999.
		AB	"Work on the Automatic Switched Optical Network", Contribution to T1 Standards Project - T1X1.5, Newsome, et. al., January, 2000.
		AC	Memo: "MPLS Control Plane for Switched Optical Networks", MPLS Working Group Internet Draft, Krishnaswamy, et, al., February 2000.
		AD	Memo: "IP Over Optical Networks: A Summary of Issues" Internet Draft, N. Chandhok, et al., July 2000.
		AE	"Architecture for the Automatic Switched Optical Network" First Draft of Gason, Mayer, September 13, 2000 - September 15, 2000.
		AF	"Controlling Optical Data Networks with an Application Specific routing Protocol", Cisco Systems, Inc., 2000.
		AG	Memo: "Generalized MPLS - Signaling Functional Description" Ashwood- Smith, Network Working Group Internet Draft, July 2001.
		AH	"ASON - Requirements at the Client API", Newsome, et. al., September 2000.
		AI	"Automatically Switched Optical Networks (ASON)", Nortel Networks Limited, June 2000.
		AJ	"MPLS: A Progress Report", Peter Ashwood-Smith, et al., November 1, 1999, URL: http://www.networkmagazine.com/article/NMG20000426S0015 .

Examiner: /David C. Payne/

Date Considered: 09/17/2006

NOTE FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.
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PTO/SB/08A (10-01)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Number	09/930 095
(use as many sheets as necessary)			Filing Date	August 15, 2001
Sheet 1 of 1			First Named Inventor	MONGA, Indermohan S.
			Art Unit	2633
			Examiner Name	Unassigned
			Attorney Docket Number	14985BAUS01U

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)				
DCP		US- 5,905,715		05/18/1999	Azami et al.	
DCP		US- 5,903,370		05/11/1999	Johnson	
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FOREIGN PATENT DOCUMENTS								
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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature	/David C. Payne/	Date Considered	09/17/2006
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Substitute for form 1449A/PPTO		Compleat if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Applicant Number	09/830095
		Filing Date	8/15/2001
		First Named Inventor	Monga
		Art Unit	2833
		Examiner Name	
Sheet	1	of	1
		Attorney Docket Number	13072BAUS07U 120-177

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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DCP		US-5,889,800		03/30/1999	McGuire	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)					
DCP		IPER for PCT/US01/25520			Nortel Networks Ltd.		

Examiner Signature	/David C. Payne/	Date Considered	09/17/2006
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³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.
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